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Microfluidic strategies for understanding the mechanics of cells and cell-mimetic systems

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Abstract

Microfluidic systems are attracting increasing interest for the high-throughput measurement of cellular biophysical properties and for the creation of engineered cellular microenvironments. Here we review recent applications of microfluidic technologies to the mechanics of living cells and synthetic cell-mimetic systems. We begin by discussing the use of microfluidic devices to dissect the mechanics of cellular mimics such as capsules and vesicles. We then explore applications to circulating cells, including erythrocytes and other normal blood cells, and rare populations with potential disease diagnostic value, such as circulating tumor cells. We conclude by discussing how microfluidic devices have been used to investigate the mechanics, chemotaxis, and invasive migration of adherent cells. In these ways, microfluidic technologies represent an increasingly important toolbox for investigating cellular mechanics and motility at high throughput and in a format that lends itself to clinical translation.

Keywords

Particles; droplets; capsules; vesicles; migration; chemotaxis

Section 1: Introduction

While biologists have long understood that soluble signals, such as growth factors and hormones, can strongly influence cell behavior, it has become increasingly clear over the past two decades that cells can also sense physical cues in their environment. For example, local tissue mechanics and geometry can drive a wide variety of life-defining behaviors including cell migration, proliferation, and differentiation (1–4). The cellular cytoskeleton, which is the three-dimensional network of biopolymers that defines cell structure and mechanics, plays a central role in processing these inputs and transducing them into intracellular biochemical signals. For example, the strong regulatory effect of extracellular matrix (ECM) stiffness on stem cell differentiation may be strongly modulated by activation or inhibition of myosin motors that tense the actin cytoskeleton (3, 5) While the molecular

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mechanisms of this cellular “mechanobiology” remain to be fully elucidated, there is widespread experimental support for a model in which cytoskeletal tension induces force-dependent conformational changes in specific mechanosensory proteins, which may in turn trigger signaling cascades through exposure of binding and phosphorylation sites (1, 6–13). Moreover, changes in cell and tissue mechanics frequently accompany specific disease states, including many solid tumors (14–18), which have in turn spurred interest in exploring cellular mechanical properties as a biomarker. For all of these reasons, there is tremendous interest in developing precise ways of quantifying cellular mechanical properties. Traditionally, cellular mechanical properties such as elasticity are measured using single-cell rheological tools such as atomic force microscopy (AFM), optical tweezers, and micropipette aspiration (19–21). While these approaches have lent much insight into the molecular basis of cellular mechanics, they are extremely skill-intensive, require sophisticated technological equipment and – most critically – are very low throughput. Moreover, these methods require that the cell be placed in highly artificial two-dimensional culture paradigms that allow direct physical contact between the rheological probe and the cell. For this reason, there is a strong need for complementary technologies that enable measurement of cellular mechanical and migratory properties at higher throughput and in a manner that places cells in culture platforms that capture important physical features of tissue.

The application of microfluidic technology to the study of cellular mechanics and migration has the potential to address many of these needs. By leveraging precision fabrication technologies pioneered in the semiconductor and microchip industries, microfluidics and related soft lithographic approaches permit the creation of micrometer-scale devices in a reproducible, rapid, and inexpensive fashion. Importantly, the length scale accessible to this fabrication align well with cellular length scales, thus allowing the design and generation of engineered microenvironments for single cells that can capture salient physical features of tissue. For example, devices featuring microfluidic channels of <50 μm in width can be used to study the effect of confinement on cell motility (22, 23). Because such devices may be fabricated from a wide variety of materials, including polydimethylsiloxane (23, 24), polyacrylamide (25, 26), agarose (27, 28), and collagen (29), they can be tailored to present specific biochemical and mechanical signals to cells. Additionally, because many of these materials are transparent, cells within the resulting devices may be optically imaged in real-time in ways that are often challenging in three-dimensional biomaterials.

Microfluidic devices also facilitate cheaper and faster experimental studies. Parallel or multiplexed experiments can be conducted on a single device due to the small footprint, and experiments require only small sample amounts. As a consequence, the throughput of these technologies far outpaces traditional cell mechanics measurements, facilitating statistical power capable of capturing heterogeneities present within complex cell populations and rapid sample processing times that bring clinical utility within the realm of possibility. For example, microrheological devices based on stretching of single cells within a microfluidic channel now enable measurement at rates as high as 1000 cells per second (30), which has in turn been applied to cancer diagnostics (31, 32), analysis of motility of leukemia cells (33) and mechanistic dissection of embryonic stem cell differentiation (34). By contrast, the

throughput of traditional single-cell mechanics methods such as AFM and optical tweezers are typically described in terms of tens of cells per day.

Finally, because of the characteristically small physical dimensions of microfluidic devices, they support well-defined laminar flows (i.e. very low Reynolds number) when perfused with aqueous solutions. As a consequence, the flow within the device is predictable and consistent to the point where the flow velocity and velocity gradient can be considered known and incorporated into the design of the experiment. These capabilities have great value for engineering microenvironments for the controlled study of cell motility. For example, by creating parallel flow streams with varying concentrations of a soluble cue that stimulates or suppresses cell motility, one may establish highly stable diffusion-mediated gradients perpendicular to the flow streamlines to drive directional cell migration (35–38). Additionally, these laminar flows can be utilized to spatially pattern device surfaces with ECM proteins and other adhesive proteins via adsorption from the flow stream (39–41).

This review will describe the recent application of microfluidic platforms to the study of cellular mechanics and motility, moving from highly reductionist systems to living cells that interact with an ECM. We will first begin by discussing synthetic structures that have been developed to model physical aspects of cells and cellular responses to mechanical deformation, such as vesicles and droplets. Next, we will focus on the use of microfluidic devices to characterize cells in suspension, including red blood cells. Finally, we will discuss the application of microfluidic technologies to the mechanobiology of adherent cells, with a specific emphasis on regulation of cell motility by ECM confinement.

Section 2: Artificial Particles, Droplets, Capsules and Vesicles

Because of the structural complexity, heterogeneity, and complex functional properties of cell membranes and the cytoskeleton, it is extremely challenging to dissect how specific molecular components contribute to whole-cell mechanical properties based on studies with living cells. For animal cells, the membrane is in general a three-layered compound system (42). The center layer is composed of a phospholipid bilayer incorporated with an enormous diversity of critical transmembrane and membrane-associated proteins, including pumps, channels, and receptors. Oligosaccharides (collectively termed the glycocalyx) that posttranslationally modify the constituent lipids form the outer layer. The glycocalyx is increasingly acknowledged to contribute strongly to cellular mechanics and mechanotransduction (43, 44). Coupled to the bilayer on the intracellular side to form the inner layer is the cytoskeleton, a viscoelastic and highly dynamic polymer network whose components are in a constant state of assembly and disassembly, and which generates force through the consumption of biochemical free energy (e.g. ATP and GTP hydrolysis) (45, 46). Comparatively rigid organelles within the cell (e.g. the nucleus) represent yet additional mechanical heterogeneities (47) and in some cases can dominate specific mechanobiological behaviors, such as migration through narrow tissue spaces (48–50). In living cells, all of these features are tightly regulated in both space and time, with external stimuli capable of rapidly altering membrane and cytoskeletal composition and mechanics. For these reasons, a variety of “bottom-up” approaches have been developed that seek to model cells with synthetic constructs composed of purified components. These reductionist entities often have

controllable properties (i.e. size, deformability) that may be tuned to align with living cells while dispensing with the inherent complexity and unpredictability of living cells. These model systems are also simple enough to allow close convergence of complementary theoretical, numerical, and experimental approaches that would be unattainable with living cells.

Before reviewing biological cell mechanics studies that utilize microfluidic technology, we will first discuss artificial small bodies in order of increasing complexity—particles, droplets, capsules, and vesicles. Particles and droplets, though lacking a distinct, enclosing layer and thus by definition unable to exhibit deformations like those of cellular membranes, nevertheless provide a starting point for understanding the role of hydrodynamic forces and deformability in physical phenomena relevant to cell migration. For the purposes of this review, we define particles as solid bodies of homogenous composition that may be further distinguished as rigid or deformable. Particles of finite elastic modulus deform to a limited extent due to viscous stresses; as the elastic modulus goes to infinity, no deformation occurs and the particle is considered rigid. Droplets, consisting of a homogeneous viscous fluid dispersed in a continuous surrounding immiscible fluid, can deform indefinitely in a hydrodynamic flow field. Surface tension at the fluid-fluid interface and the viscosity ratio between the internal viscosity and surrounding fluid viscosity are the physical parameters that determine droplet deformation.

Capsules and vesicles have been widely used to model cells because they possess structured interfaces that show more intricate mechanical behavior than is possible with surface tension, and respectively capture the elasticity of the cytoskeleton and the constant area of the lipid bilayer. Capsules are enclosed structures consisting of a thin elastic membrane separating an internal substance from the external environment, usually a fluid. The capsule membrane can range from elastic solid-like to viscoelastic by including, in the latter case, a viscous contribution in the constitutive law describing the membrane. The elastic shear modulus is the key membrane property that dictates capsule deformation, along with the ratio of the internal fluid viscosity to external fluid viscosity for fluid-filled capsules. Unlike vesicles and red blood cells, capsule membranes may be extensible. While capsules can have a gelled core and additional properties such as high resistance to area change, in this review we consider only initially spherical capsules with a polymeric membrane surrounding a liquid interior. Vesicles are deformable enclosures surrounding a liquid interior but with a membrane that consists of phospholipid molecules arranged into a bilayer structure. The important constraint imposed by the structure of the lipid bilayer is the very high cost of area dilation; therefore, vesicle membranes are considered incompressible (51) and, like red blood cells, their membrane area is inextensible. While polymeric capsule membranes resist shear deformation, a vesicle's lipid bilayer may be freely sheared. In contrast to droplets, the surface tension is not a material property of the vesicle membrane but rather as lipids flow the effective surface tension between neighboring lipids adjusts locally and globally to enforce constant surface area.

2.1 Particles and Droplets

Early scientific studies of hydrodynamic forces acting on suspensions were primarily motivated by understanding blood flow. Experiments of blood flow through capillaries revealed several interesting effects that were a result of the tendency of red blood cells (RBCs) to migrate to the center of the capillary. Most famously, in small capillaries with diameters $< 300 \mu\text{m}$ Fåhræus and Lindqvist observed a decrease in RBC concentration in the capillary with respect to the feed reservoir at high flow rates (Fåhræus effect (52)) and a decreasing effective viscosity (lower flow resistance) of the blood suspension with decreasing diameter (Fåhræus-Lindqvist effect (53), Figure 1(a)). Both effects are a result of the concentration of RBCs at the tube center, leaving a thin cell-free plasma layer near the capillary wall. The Fåhræus effect can be explained from the higher mean velocity of the RBCs concentrated at the tube center compared to the surrounding plasma fluid; this decrease of tube hematocrit plus the lower flow resistance of the plasma layer near the wall explains the Fåhræus-Lindqvist effect (54). However, understanding the physical explanation of RBC lateral migration that leads to the Fåhræus and Fåhræus-Lindqvist effects was advanced through systematic studies of simpler suspensions of rigid particles and droplets (55–71). This enhanced understanding of the origin of lateral migration has now been applied to applications such as sorting heterogeneous cell populations by size or deformability (cf. Section 3).

Even the simple case of rigid, monodisperse, spherical particles suspended in a Newtonian fluid and flowing in a capillary exhibits surprisingly complex dynamics. In the limit of vanishing particle concentration (negligible particle-particle interactions) and vanishing inertial effects (i.e., for vanishing Reynolds number), the reversibility of Stokes flow means that particles will not migrate relative to the walls. However, concentrated suspensions of particles will migrate even at vanishing Re due to shear-induced diffusion (57–61). In this case, gradients in the shear rate across the capillary lead to gradients in the particle collision frequency, which results in particles migrating away from the capillary walls and a layer depleted of particles forming adjacent to the walls. This hydrodynamic diffusion due to collisional processes, along with lift forces that result from RBC deformability, contributes to the migration of RBCs responsible for the Fåhræus-Lindqvist effect.

At higher Re , inertial effects in the surrounding fluid induce sufficiently high lateral forces to allow rigid particles to migrate across streamlines even in the dilute limit. This phenomenon of inertial lateral migration across streamlines was first observed by Segre and Silberberg (55, 56), who found that uniformly distributed spherical particles at the inlet rearranged into a thin annular region further downstream for sufficiently high flow velocities and tube lengths. The basic inertial lift phenomenon is the following (62–65): Once the flow rate is high enough so that fluid inertia must be taken in to account, the disturbance to the fluid flow caused by the particle interacts with the wall and the curvature of the parabolic Poiseuille velocity profile. The particle's flow disturbance reflects at the wall leading to an inward wall-induced inertial lift force directed toward the channel center. Further from the wall, the particle's flow disturbance interacts with the curvature in the velocity profile so that particles are pushed outward towards regions of higher shear rate closer to the walls. Sufficiently far down the channel, particles will have moved across the unidirectional

streamlines to steady-state positions where the wall-induced and shear-gradient-induced inertial lift forces are equal. The inertial lift forces scale so predictably with particle size, channel geometry, and bulk flow velocity that microfluidic devices can be designed to separate heterogeneous populations of cells (discussed in Section 3). Indeed, the long entry lengths required for migration make microfluidic platforms ideal for both fundamental studies of migration and the exploitation of these phenomena for separations.

While the potential importance of lateral inertial forces to cells can likely be understood from rigid particles, modeling the impact of cell membrane deformability on lateral migration requires the use of deformable objects. Droplets were the first deformable objects to be studied for lateral migration (66). In contrast to rigid particles that can only migrate across streamlines in channel flow due to inertia-driven lift or shear-induced migration at finite concentrations, deformable droplets migrate even in creeping flow at vanishing concentration as demonstrated experimentally (66, 67, 69, 72, 73). An expression for the lateral migration force on deformable drops is difficult to determine because the deformation of the droplet and the surrounding fluid flow are both unknown. Assuming weak deformation of the droplet, Chan and Leal (68) showed that the disturbance to the flow field induced by deformation of the droplet (that deformed the droplet in the first place) interacts with the wall and shear gradients to induce a lateral force on the droplet. The direction (toward or away from the channel center) and magnitude of this force depends on flow type (pressure-driven Poiseuille flow vs. wall-driven Couette flow) as determined by channel geometry and the viscosity ratio of the droplet to surrounding fluid. The more complicated scenarios of large droplet deformation or several interacting droplets must be studied numerically (70, 71, 74). So once again the wall and shear gradients interact with the fluid disturbance. For rigid particles the disturbance occurs once fluid inertia is taken into account, and for droplets the disturbance is due to droplet deformation. More information about droplets is covered in two reviews about theoretical investigations (75) and experiments (76).

2.2 Capsules and Vesicles

Capsules and vesicles possess characteristics that facilitate our understanding of the behavior of individual red blood cells (RBCs) flowing in the circulation, an important first step to understanding the collective behavior of whole blood. The RBC membrane exhibits approximately constant surface area and has a non-spherical biconcave equilibrium shape, two characteristics that may be reproduced using vesicles. In particular, the discocyte–stomatocyte transition of RBCs is predicted by minimizing the lipid bilayer bending energy while changing the area to volume ratio (51), and this transition has been observed experimentally in vesicles (77, 78) (Figure 1(b)). Yet the RBC cytoskeleton provides the cells with resistance to shearing forces, similar to an elastic capsule, leading to behavior that cannot be predicted from vesicles such as the echinocyte and elliptocyte shapes (79).

The manner in which capsules and vesicles each capture key mechanical aspects of RBCs is most clearly illustrated in shear flow. In shear flows, vesicles tumble end-over-end like a rigid axisymmetric particle (tumbling), tank-tread with a fixed ellipsoidal shape and inclination angle to the streamlines while the membrane rotates around the internal fluid as

seen for droplets (tank-treading), or tremble with an oscillating orientation and asymmetric shape distortion (trembling) at transition points that depend on shear stress strength and the viscosity ratio (80, 81). These experimental observations agree reasonably well with numerical predictions (82). Tumbling, tank-treading, have trembling have also been observed for red blood cells (83, 84) though agreement of vesicle and RBC dynamic behavior is not as good at low shear rates as at higher shear rates (85). At moderate shear rates, RBCs demonstrate another dynamical motion between tumbling and tank-treading called swinging that is not seen for vesicles (83). In swinging, an oscillation of RBC inclination angle is superimposed over the tank-treading membrane rotation. This swinging motion has also been seen for capsules that have a slightly non-spherical resting shape (86). Thus the swinging dynamics have been attributed to the shear elasticity and the elastic shape-memory of the RBC cytoskeleton (83).

As with droplets, the deformable capsules and vesicles experience a lateral force in a confined channel even in creeping flow due to the disturbance of non-spherical deformed shape interacting with the channel walls (87–90) and the curvature of the velocity profile (91–95). But unlike droplets for which the viscosity ratio determines the migration direction (68), vesicles and capsules always experience a lift force toward the channel center. The magnitude of the vesicle or capsule lateral migration velocity depends on viscosity ratio, geometry, local shear rate, and distance from the wall with different expressions for near- and far-wall regimes (96). Once at the center of the channel, the capsules and vesicle are entrained in the fluid and move with approximately the maximum fluid velocity. In concentrated suspensions, this deformation-induced migration mechanism competes with the shear-induced diffusion mechanism described above to determine the thickness of the “cell”-free layer. As with the migration phenomena described in Section 2.1, a number of microfluidic separation schemes based on deformation differences have been proposed (97–99) and experimental studies of the mechanism and scaling with flow and membrane parameters have relied on microfluidic platforms (92, 96, 100).

Just as vesicles have been used as a reductionist platform for understanding the mechanics of the lipid bilayer, purified and reconstituted proteins have been used to model and dissect the cytoskeleton. For instance, studies of reconstituted cytoskeleton networks have implied that prestress and stress-dependent stiffening of the cross-linked actin network are important contributors to cellular elastic behavior (101). In an effort to create a minimalist synthetic cell that can be used to study the interaction between the coupled lipid plasma membrane and the cytoskeleton and how that affects large-scale cell deformation, several researchers have recently reconstituted vesicles with encapsulated or surface-attached actin protein networks (102). One case of a vesicle-actin biomimetic system recapitulating cell behavior is the phenomena of passive spreading on 2D surfaces. Vesicles with an actin cortex shell close to the inner membrane spread on histidine-coated glass substrates with a characteristic time in good agreement with early-time spreading dynamics of living cells (103). The accompanying modeling and analysis predicted the characteristic spreading time from the actin shell thickness and viscosity and the initial membrane tension.

Vesicles have been used to gain insight into physical aspects of cell adhesion, specifically to study the competition of attractive forces due to cell adhesion molecule pairs, repulsive

forces from other cell membrane proteins, and elastic membrane stresses determined by deformation of the cell membrane (104). Multicomponent vesicles with incorporated ligands that interact with receptors immobilized on a flat substrate have been used as a model system to study the weak, reversible adhesion that occurs before leukocytes or cancer cells become tightly attached to blood vessel walls (105–107). In one study, it was shown that the number of ligand-receptor bonds decreases steeply beyond a threshold of competitive antibody concentration, resulting in the de-adhesion of the vesicle (105). This experiment could lend mechanistic insight into how invasive tumor cells penetrate tissue; for example, many cancer cells overexpress metalloproteases that cleave glycoproteins, which then occupy potential ECM adhesion sites and facilitate continued motility through the tissue (104). Microfluidic techniques, which have yet to be widely used to study adhesion with these cell-mimetic systems, provide a means to study the effect of an external flow field on adhered cells, such as leukocytes that marginate from the bloodstream to adhere to vessel walls. Application of external flow can drive intramembrane flow of lipids within adherent vesicles (108), suggesting that shear applied to cells could facilitate the migration of specific adhesion proteins towards the contact zone, increasing the number of adhesion receptors and reinforcing the adhesion (84).

While these artificial bodies have served as valuable model systems for cells, there are many other aspects of living cells that these model systems cannot capture, most notably the complexities of protein-based adhesion and signaling. While proteins can be incorporated within vesicle membranes to create some basal protein function and membrane heterogeneity (109), these proteins are unmoored from the signaling networks with which they would normally interface in living cells. These networks are critical for integrating the myriad physical and biochemical signals that are sensed by the cells and converted into biological responses. Thus cells are able to actively respond to the environment—sensing conditions, processing information, and acting—while artificial bodies only deform in the presence of velocity gradients or chemical gradients.

Section 3: Circulating Cells

Perhaps the most natural biological analogs to synthetic capsules and vesicles are cells that do not adhere to ECM surfaces, including those that flow within the vasculature. This includes both cells normally resident within blood, including RBCs and leukocytes, and cells associated with specific disease states. Of particular interest within the latter category are circulating tumor cells (CTCs), which are cells that “shed” from a solid tumor and may participate in seeding metastatic tumors. Because of the possibility of using CTC detection in blood-based diagnosis, screening, and monitoring, there is intense interest in developing technologies capable of identifying and measuring CTCs, a task made challenging by the high cellular background and comparative rarity of CTCs in blood. Microfluidic devices have been ideal for performing two clinical diagnostic tasks for circulating cells: high-throughput measurements of cell deformability and cell sorting.

RBCs have represented an important starting point for the application of mechanical tools. As alluded to earlier, mature RBCs consist of a phospholipid bilayer surrounding a fixed volume of cytosol with a known viscosity (110). Instead of a cytosol-filling cytoskeleton

dominated by actomyosin bundles, microtubules, and intermediate filaments, RBCs have a simpler, mesh-like spectrin-based cytoskeleton that is tethered to the intracellular face of the lipid bilayer (111). Additionally, RBCs do not contain a nucleus or other organelles, which facilitates the deformation of RBCs through narrow capillaries (110). As a result of their stereotypical and comparatively simplified structure and composition, RBCs have often been used as a model system to study connections between molecular composition, cellular mechanics, and disease state. For example, malaria represents an RBC disorder whose progression has been closely linked to changes in mechanical properties. The malaria parasite invades and develops within a RBC through three stages: ring, trophozoite, and schizont. During the maturation process, the parasite produces its own proteins that interact with the lipid bilayer and the spectrin network, thereby rendering the RBC rigid, cytoadherent, and thus prone to occlusion within the narrow capillary beds. (111, 112).

A variety of techniques have been applied to investigate the changes in the mechanical properties of the RBC experienced during disease progression, ranging from population-based approaches such as viscometry and filtration-based approaches to single-cell measurements such as micropipette aspiration and optical tweezers. The individual cell measurements are of special interest due to the fact that they can exert piconewton forces on micrometer length scales, both of which are highly relevant to a cell *in vivo* (113, 114). However, these techniques do not allow observation of RBCs flowing through narrow capillaries, which is of special importance because many of the clinical sequelae of malaria are directly associated with vaso-occlusion by infected RBCs (111). Additionally, the measurement throughput of these technologies is limited to several cells per hour, meaning the analysis of milliliter-volume samples for large population studies or clinical diagnosis is prohibitively time- and labor-intensive (115).

Microfluidic devices address the need of performing high-throughput RBC measurements in geometries that capture microvasculature geometry. In one study, a microfluidic device designed to mimic the geometry and elastic modulus of human capillaries was used to characterize RBC behavior of each malaria disease stage at high-throughput (116) (Figure 2(a)). In this device, RBCs were flowed through PDMS microchannels of widths of 2, 4, 6 and 8 μm in single file and at flow rates comparable to those observed *in vivo*. The first signs of rigidity occurred in the trophozoite stage in which the infected cells were able to pass through the 6 and 8 μm channels but not the 2 and 4 μm channels (Figure 2(b)). As the disease stage progressed, the cells became progressively rigid, with the schizont cells traversing only the 8 μm channels without difficulty. In this way, RBC flow could be conducted in a capillary-like geometry and at high throughput, which would not have been possible with micropipette aspiration or optical tweezers. Recent advances in microfluidic design and automated shape analysis have advanced the throughput of such approaches to 100–150 cells per second, which is orders of magnitude greater than previous single-cell technologies (117).

Acute myeloid leukemia (AML) is another disease that involves altered cell mechanics. In particular, the increased stiffness of leukemic cells, coupled with their increased adhesivity, leads to the aggregation of cells in the vasculature called leukostasis. As with malaria, the resulting vaso-occlusion can contribute to organ failure. Rosenbluth and colleagues (118)

devised an elegant AFM-based strategy to compare the stiffness of normal neutrophils, myeloid leukemia cells, and lymphoid leukemia cells and showed that the mean apparent equilibrium Young's Modulus of the myeloid leukemia cells was substantially higher than either of the other two populations. In a follow-up study, the authors devised a capillary-mimetic microfluidic device to more directly investigate the consequences of these stiffness differences on flow behavior (119). Leukemic cells from a patient with leukostasis traversed the device more slowly and less frequently than cells from non-symptomatic leukemia patients. The diseased cells occluded a higher fraction of the microchannels than the non-leukostatic cells, consistent with *in vivo* observations. Importantly, treatment of cells with drugs that induce cell softening significantly sped transit time, hinting at the power of such platforms for drug screening and validation.

More broadly, a number of other investigators have begun to investigate the mechanical properties of mammalian cells in a flow-based device. For example, a multi-stage PDMS device measured two biophysical intrinsic cell properties, cell size and deformability, of suspended heterogeneous cell populations that could then be analyzed to predict metastatic potential, inflammation, stem cell state, and leukocyte activation (31). Suspended cells were ordered in the flow by inertial focusing and uniformly delivered to an extensional flow region where they were elongated (Figure 2(c)). With the use of a high-speed camera and rapid image processing, several thousand cells were observed and measured per second to yield a two-dimensional size-deformability map of the population, which could be used as a quantitative "signature" of a given phenotype (Figure 2(d)). For example, pleural fluid samples from a normal individual contained mostly small rigid cells, which correspond to quiescent leukocytes. Samples from patients suffering from chronic inflammation contained more lymphocytes and histiocytes, which are larger and more deformable than leukocytes, therefore shifting the population median values. This device greatly increased the measurement throughput relative to conventional single-cell mechanics techniques (2000 cells/s compared to 1 cell/min) and eliminated operator skill/bias issues and the need for biochemical labels.

Recently, many groups have begun to investigate and characterize the mechanics of CTCs due to their clinical and biological significance. As described earlier, CTCs are tumor cells that have exited the primary tumor and entered the circulation. These CTCs are attractive clinical targets because they can be noninvasively sampled with venipuncture and could potentially be exploited for early detection, molecular profiling (e.g. sequencing and marker detection), and longitudinal disease monitoring. Additionally, genomic and proteomic analysis of CTCs can provide greater insight into the mechanism for metastasis or potential mechanisms for drug resistance (120). However, CTCs are extremely rare (estimated to be as few as 1 in 10^9 cells) and isolating these cells from the bloodstream is technically challenging (121). This problem of cell sorting has motivated much of the work of lateral migration of synthetic rigid and deformable particles discussed in Section 2. One might reasonably expect that the scaling of lateral migration forces for deformable cells would follow predictions for rigid particles and deformable capsules, which would enable the design of cellular separation devices based on these predictions. As described below, flow-based microfluidic cell sorters utilize these lateral lift forces to separate cells based on size

(e.g. CTCs are typically larger than other cells in the bloodstream) and deformability (e.g. healthy RBCs are softer than CTCs, white blood cells, and diseased RBCs).

Inertial migration separation devices leverage the fact that large particles laterally migrate to steady-state positions within the channel faster than smaller particles: for rigid particles, the lateral migration velocity is proportional to the cube of the diameter (122). Introducing changes to channel geometry along the flow direction introduces changes to the forces experienced by entrained particles with different size particles moving laterally at different speeds. One strategy exploits this effect by modulating the channel aspect ratio (height to width ratio). One such two-stage inertial migration device (123) includes a high-aspect-ratio upstream segment in which the binary particle population equilibrates to certain positions followed by an expansion section to a low-aspect-ratio downstream segment with different equilibrium particle positions. The particles are collected at an intermediate point at which the large 20 μm particles had completed their migration to the center of the channel but the slower small 9.9 μm particles largely remained at the channel walls. This approach enabled separation of this binary population with high efficiency and purity, and could be used to detect and extract CTCs from spiked and diluted blood samples. Notably, although the device throughput was relatively high (100 $\mu\text{L}/\text{min}$) and produced efficient (>99%) and highly pure (>90%) particle separation, application of the approach to whole blood requires considerable (>40%) sample dilution to remain within the Newtonian regime and minimize cell-cell interactions; in practice, this may trade off against detection sensitivity. Another means of modulating channel geometry is to insert rectangular cavities at the channel sides that generate predictable vortices in which to trap CTCs (124–126). The sudden increase in channel width means the wall-induced lift effect diminishes and the shear-gradient-induced lift drives an outward migration of particles that scales as the cube of cell diameter (65). In this way, the large CTCs migrate into the cavities while the smaller RBCs continue down the channel. A recent cavity vortex design demonstrated high purity (80–100%) separation of CTCs from blood at high throughput rates (375 $\mu\text{L}/\text{min}$) and higher concentrations (5x-40x sample dilution), but suffered from low capture efficiency (10–35%) (126).

Curved microchannels have been shown to achieve separation at higher throughput ($\sim 1 \text{ mL}/\text{min}$) (122, 127, 128). In a curved microchannel geometry, a secondary flow called Dean flow induced by fluid inertia creates counter-rotating vortices and additional drag so that larger particles occupy a single equilibrium position at the inner wall and smaller particles are trapped in the core of the vortices at the center of the channel (122, 129). A trapezoidal cross-section increases the separation between large and small particles and cells due to the asymmetry that skews the location of the vortex core toward the outer channel wall, allowing for more concentrated blood samples to be used (130). One device was able to analyze 2x diluted blood samples with high capture efficiency ($\sim 85\%$) for CTC-spiked blood samples and 100% CTC detection from patient samples at high capture rates (3–125 CTCs/mL) at $\sim 1 \text{ mL}/\text{hr}$ flow rates (131) (Figure 2(f)). This simple 1-inlet, 2-outlet device design is well suited for adaptation for a clinical assay (Figure 2(e)).

An important remaining challenge for size-based separation techniques is the inability to separate CTCs that are similar in size to RBCs and other normal blood cells. In such cases, targeted trapping of CTCs on antibody-coated surfaces represents a promising approach but

requires a high degree of mixing to drive CTCs towards the channel walls. Building upon advances in chaotic mixing in microfluidic channels (132), Stott and colleagues (121) produced a microfluidic herringbone design with ridges that induced chaotic motion of the blood sample. This device demonstrated high capture efficiency (85%) and sample purity, though at a lower throughput rate (1 mL/hr) than the inertial separation devices. After separation, the captured CTCs remained viable and accessible for detailed *in situ* morphological analysis.

Section 4: Adherent Cells

In the previous sections, we discussed the use of microfluidic devices to study the mechanics and flow properties of non-adherent (e.g., circulating) cells and cellular mimics. At the same time, microfluidic devices lend themselves well to studies involving cells that normally adhere to other cells or ECM. As previously mentioned, many features in these devices can be precisely and reproducibly fabricated in the millimeter to micrometer range, which spans length scales on the order of multiple cells to smaller than the diameter of a nucleus. These cell-relevant length scales allow the user to create pseudo-3D environments in which the cell physically contacts surfaces on all sides, as is often the case in tissue. This is unlike planar 2D culture surfaces commonly used in the laboratory (e.g. tissue culture polystyrene or glass coverslips), where cells contact the ECM only on the ventral surface and are freely bathed in medium on all other surfaces. Much recent work in a variety of cell and tissue systems has shown that this “culture dimensionality” can dramatically influence cell behavior, and it is often the case that encapsulation of cells within 3D environments more faithfully replicates behavior observed in tissue (2, 133, 134). One striking phenotypic difference between the two environments is the dominant mode of cellular migration.

Cell motility on 2D substrates has been extensively studied and modeled. Polarized signaling directs the formation of flat lamellipodial protrusions at the leading edge, adhesion to the substrate and subsequent retraction at the trailing edge. However, in constrained 3D matrices, cells can adopt lamellipodium-independent migration phenotypes such as those characterized by the formation of blunt-ended cylindrical extensions known as lobopodia (135). For example, a “nuclear piston” mechanism was recently proposed to describe lobopodial migration in confined 3D matrices (136). In this model, the front and rear of the cell are differentially pressurized, with the nucleus acting as the piston that can physically compartmentalize the cell. Actomyosin contractility is necessary to drive the nucleus forward in order to create these pressure differences. As expected, the compartmentalized pressure difference is not seen on unconfined cells on 2D substrates. The difference between 2D and 3D migration has been extensively investigated and is shown to be conserved across many different cell lines (23, 134, 137–139).

4.1 Confined Migration

Microfluidic devices are increasingly being exploited to create pseudo-3D confined microenvironments to investigate effects of confinement on cell behavior, specifically cellular motility related to cancer migration and progression. In particular, these devices have proven invaluable for studying the early stages of metastasis, the process through which

tumors spread to distant organs and which is responsible for the majority of cancer deaths (140–142).

During invasion and metastasis, a tumor cell must be able to deform and squeeze through spaces that are on the subcellular and sub-nuclear length scale. As a result, this process requires dynamic changes in the organization of the cytoskeleton and cellular mechanics. Microfluidic devices are extremely useful models for investigating invasion and metastasis due to the ability to create extremely small features that match the tight spaces that cells would need to navigate *in vivo* (22, 24, 143–146). In order to investigate how mechanical confinement can influence the migratory phenotype, various groups have created microfluidic devices with arrays of microchannels with a cross-section comparable to a cell size. With the microchannel design, the cells are in contact with extracellular matrix proteins and mechanical cues on their entire circumference, which mimics an *in vivo* 3D environment. Although relatively simple, the microchannel-based approach has allowed the discovery of novel migratory patterns and mechanisms that may lend insight into how cells navigate tissue spaces (24, 147).

One intriguing migratory pattern of confined cells is spontaneous persistent motility. This phenomenon has been observed in a device that consisted of arrays of PDMS microchannels connected to a central well for cell seeding (24) (Figure 3(a), 3(b)). With this device, the authors were able to observe hundreds of cells simultaneously and quantify their velocity and persistence. Cells in these channels spontaneously migrated persistently in one direction over a long period of time (>12 h) and this phenomenon was conserved across various cell lines. This behavior is much different from 2D migration, where cells generally migrate in a random walk unless subject to some external gradient (148). Persistent 3D migration may be relevant to *in vivo* situations where cancer cells have been observed to invade along heterogeneous structures in tissues such as lymphatic vessels or white matter tracts. Importantly, these insights were uniquely made possible through the use of a microfluidic channel and could not have been obtained with traditional 2D or 3D matrix systems or cellular invasion paradigms such as transwell chambers.

Microchannels have also been used to more closely examine mechanisms that underlie migration within confined tissue spaces. To induce cancer cells to migrate into and through microchannels, chemotactic gradients have been incorporated into microfluidic devices. In one such device (4, 23), two parallel microchannels bordering the migratory area were perfused with chemoattractant and empty medium, respectively. Through simple diffusion, a linear gradient formed perpendicular to the flow of the two media streams and the cells were induced to enter the microchannels and directionally migrate. These investigations yielded multiple insights on the effect of confinement, such as the finding that confinement suppresses the mesenchymal phenotype normally observed in 2D migration by promoting uniform cytoskeletal tension and distribution of substrate adhesions, as well as a deeper understanding of the role of $\alpha 4\beta 1$ integrin signaling in myosin II-driven contractility for confined migration.

This chemotactic microfluidic platform has also been used to develop a novel mechanism for confined migration based on polarized transmembrane water permeation (147). In this

model, a polarized cell establishes a gradient of plasma membrane proteins that features enrichment of the pump NHE-1 and aquaporin AQP5 at the leading edge of the cell. This polarization leads to a chemical potential difference across the membrane which then results in a net inflow of water at the leading edge and a net outflow of water at the trailing edge which leads to net cell displacement. The mechanism was tested experimentally by imposing external osmotic shocks and observing the direction of migration after the perturbation.

Many of the aforementioned devices utilize channels of constant width. However, tissue spaces may be expected to follow much more complex geometries, which may in turn strongly contribute to the speed and persistence of migration. There have been a variety of efforts to capture these effects. For example, microchannels that periodically taper from a cell width to subnuclear length scales have to been used to study cell metastasis (144, 145) (Figure 3(c)). This periodic tapering both mimics the heterogeneity in ECM pore size and allows for multiple observations on the same cell in the same experiment. This platform led to the identification of four distinct phases for the subnuclear transition, and quantification of the time spent in each phase. From these observations, multiple strategies used by the cell to cross the interface were identified: deforming the nucleus enough to pass through the barrier, using a cell body extension to elongate the cell body, or using a rolling motion to cross the barrier.

In the previous studies, the devices were made of PDMS. Although PDMS is ideal for recreating microscale topographic features, it offers a limited range of stiffness that does not fully cover the range of human tissue and microenvironment stiffness, including some stiffness ranges known to affect cellular phenotype (3, 22, 149). To cover a more physiologically relevant range of stiffnesses, researchers have created microfluidic devices using alternative materials with more tunable stiffness values such as polyacrylamide. For example, one approach featured polyacrylamide microchannels with independently tunable stiffness and channel width, enabling deconvolution of effects of ECM stiffness and channel geometry (specifically, confinement) on cell motility (22) (Figure 3(d)). This sort of deconstruction is not easily accomplished in three-dimensional hydrogels, where mechanics and microstructure are often tightly coupled. For a given stiffness, cell migration speed increased with decreasing channel width. Moreover, whereas cells in wide channels slowed down at high stiffnesses as has been previously observed on planar substrates, no such retardation of migration was observed in the narrowest channels, even at the highest stiffness (Figure 3(e)). By combining these observations with confocal imaging of the actomyosin cytoskeleton and mathematical modeling, the authors deduced that confinement has the effect of polarizing traction forces and facilitating persistent motility. In a subsequent study, this platform was used to investigate the interplay of matrix stiffness and confinement with genetic lesions associated with malignant transformation in breast cancer (150).

4.2 Chemotaxis

In addition to providing a new set of tools to study cellular confinement, microfluidic devices are also well suited to study chemotaxis due to the ability to create well-defined, stable concentration gradients. Chemotaxis, the directed migration in response to soluble extracellular cues, is essential for many biological processes such as organ development,

wound healing and cancer metastasis (151). Specific chemokines have been implicated in tumor initiation, growth and progression (152, 153). For example, perivascular niche-associated cells use autocrine factors such as SDF-1 to promote tumor malignancy, growth and invasive potential (153). Additionally, SDF-1 can also contribute to cancer progression by stimulating tumor cell migration away from the primary tumor and to secondary sites away from the vasculature (152). Thus, various investigators have sought to replicate chemokine gradients *in vitro* in order to study cancer cell motility and invasion. While a number of traditional *in vitro* chemotaxis systems do exist, including the Boyden, Zigmond, and Dunn chambers (39, 154) (Figure 4(a)), the gradients generated by these devices are often poorly defined, unstable, and inaccessible to optical imaging. Microfluidic devices have offered the opportunity to complement and potentially improve upon these devices.

One such microfluidic device employed parallel flow channels packed with 3D collagen matrices (155–158) (Figure 4(b)). A cell line of interest was seeded in one channel and either a co-culture of cells or chemokine infused medium was added to the other channel (Figure 4(c)). A linear gradient was created and sustained by either the co-cultured cells or by refreshing the chemokine infused medium at regular intervals (158). These devices have been used to investigate the effect of matrix density on the EGF-driven invasion of brain tumor cells (157). An important strength of this design is its modularity; one can easily change the type of matrix material, pore size, or stiffness of the matrix to investigate the effects of these parameters on invasive characteristics.

Many chemotactic processes do not involve a constant linear gradient of only one molecule, instead requiring a complex interplay of signals that vary in complex ways in space and time (151). Additionally, the concentration of a chemokine can be locally degraded or sequestered, which further complicates the shape of the gradient. Examples of spatially heterogeneous gradients that have been incorporated into microfluidic devices are “hill” and “cliff” shaped gradients (38, 159) created by superimposing multiple linear gradients. In hill gradients, the concentration of chemokine gradually increases before gradually decreasing while in cliff gradients the chemokine concentration gradually increases and then sharply drops. Unexpectedly, these two complex gradients produce dramatically different migratory behaviors. In the hill case, neutrophils were observed to migrate past the highest concentration of chemokine before reversing direction. However, in the cliff case, the neutrophils would stop migrating at the point of highest concentration of chemokine. These behaviors are not easily explained by traditional models of chemotactic migration and suggest the presence of mechanisms whose details have yet to be elucidated. Additionally this design represents a first step in creating more complex gradients that would be more physiologically relevant than a linear gradient.

Section 5: Conclusion

While the use of microfluidics to study cellular mechanics is still in its early stages, this suite of technologies has already proven to be a powerful tool for characterizing the mechanics of cells and cell-like particles quantitatively and at high throughput, and for gaining insight into microenvironmental regulation of cell migration. While such devices are beginning to make important contributions in the field of cellular mechanobiology, much

room for improvement remains. For example, many of the devices presented to date focus on replication or manipulation of only one or two features of the microenvironment such as confinement or a chemokine gradient. An important goal of future devices will be to incorporate multiple cues likely to play important roles *in vivo*, such as interstitial flow, topological cues, multiple different chemokine gradients and more than one type of cell (160–162). While challenging, these complexities will better capture the *in vivo* microenvironment and allow observation of emergent behaviors not readily appreciable from simpler systems. These devices will also lend insight into how cells process and integrate synergistic or competing cues to give rise to a coherent phenotype. Additionally, the integration of such devices with technologies that offer intracellular analysis, such as single-cell proteomic platforms (163), would increase the ability for endpoint analysis as well as archiving abilities for future analysis.

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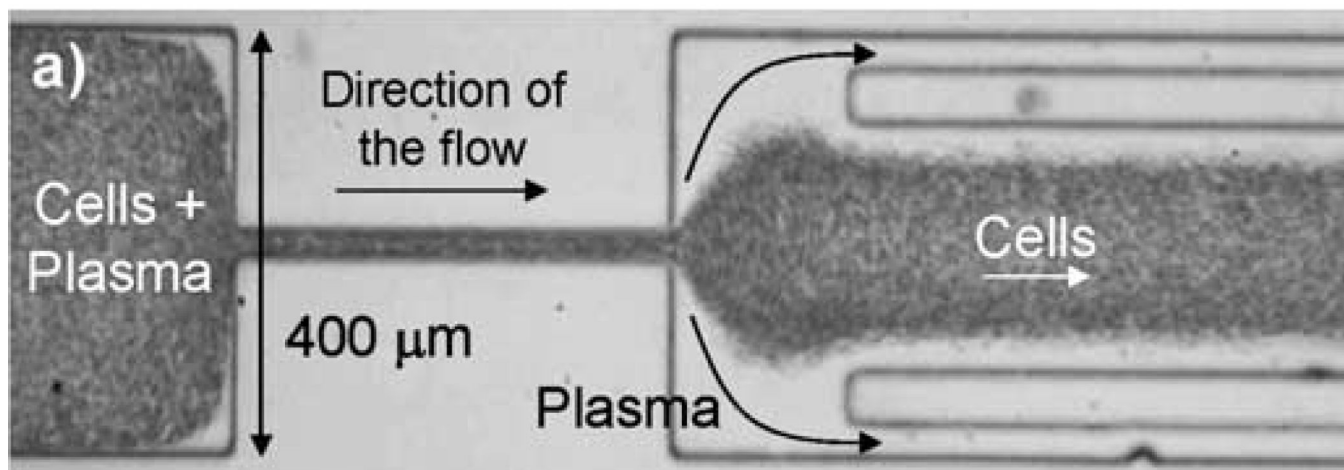
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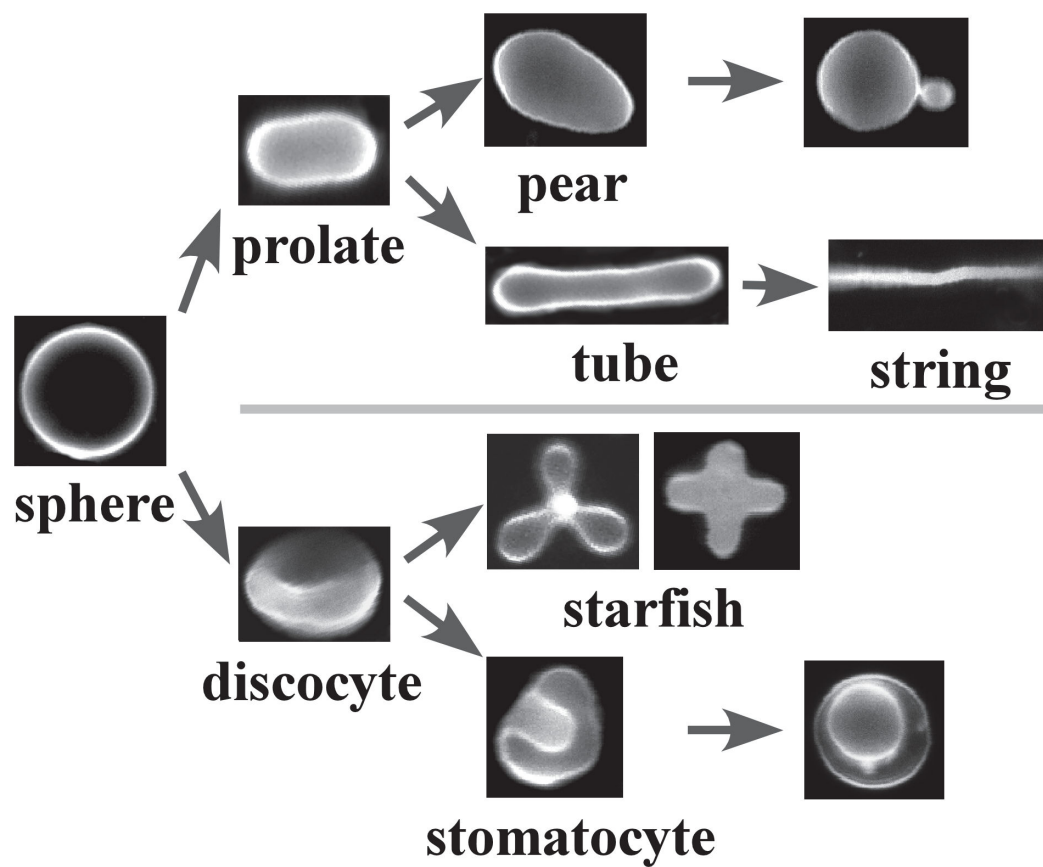
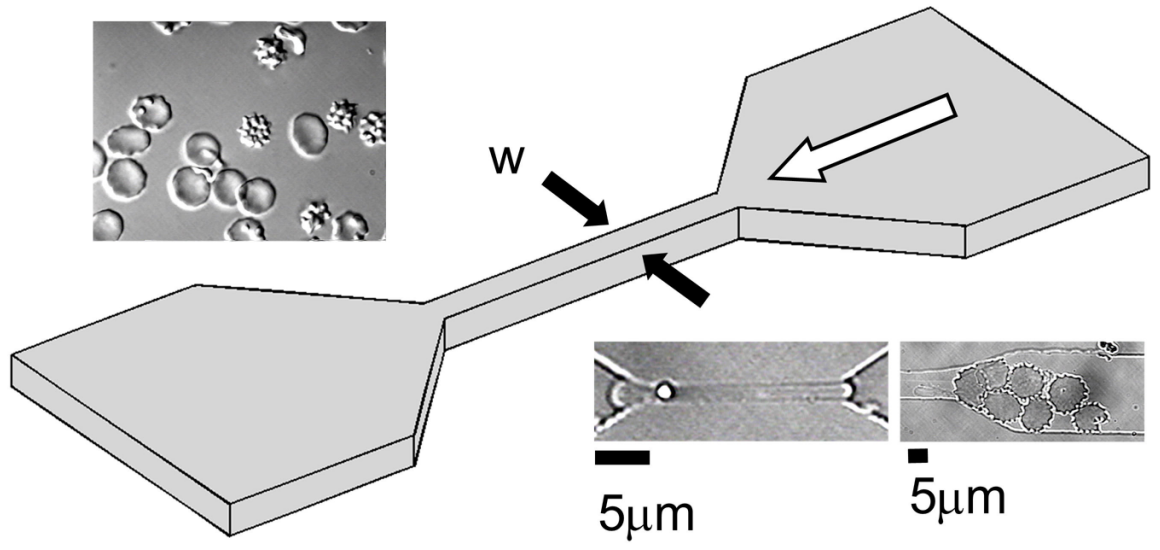


Figure 1.

(a) Plasma-skimming microfluidic device that leverages the well-known “cell-free” layer phenomenon found in blood vessels. This lateral migration of RBCs is partially responsible for the Fåhræus and Fåhræus-Lindqvist effects. Understanding of the physical mechanisms responsible for the lateral migration of RBCs to the channel center - hydrodynamic diffusion due to collisional processes and lift forces that result from RBC deformability - was advanced through systematic studies of simpler suspensions of rigid particles and droplets. Figure 1(a) adapted from Faivre et al. (2006) with permission. (b) Synthetic vesicles exhibit a variety of shapes under different environmental conditions, including the discocyte and stomatocyte shapes often displayed by red blood cells. Controlling the salt concentration, and therefore osmotic pressure difference between the vesicle and the surrounding aqueous solution, induced the shape deformation pathway of these synthetic vesicles. Figure 1(b) reproduced from Yanagisawa et al. (2008) with permission.

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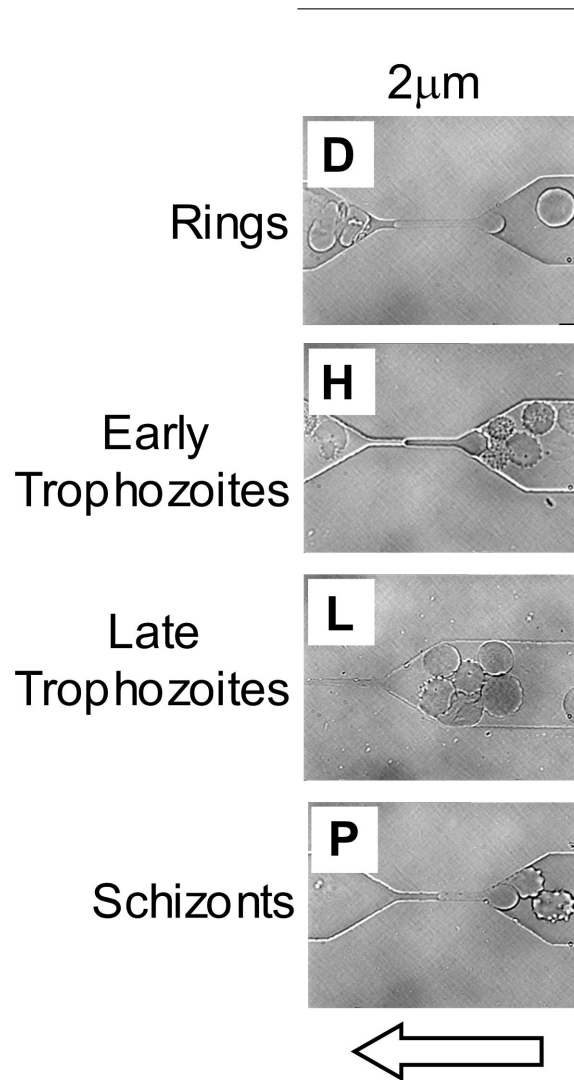
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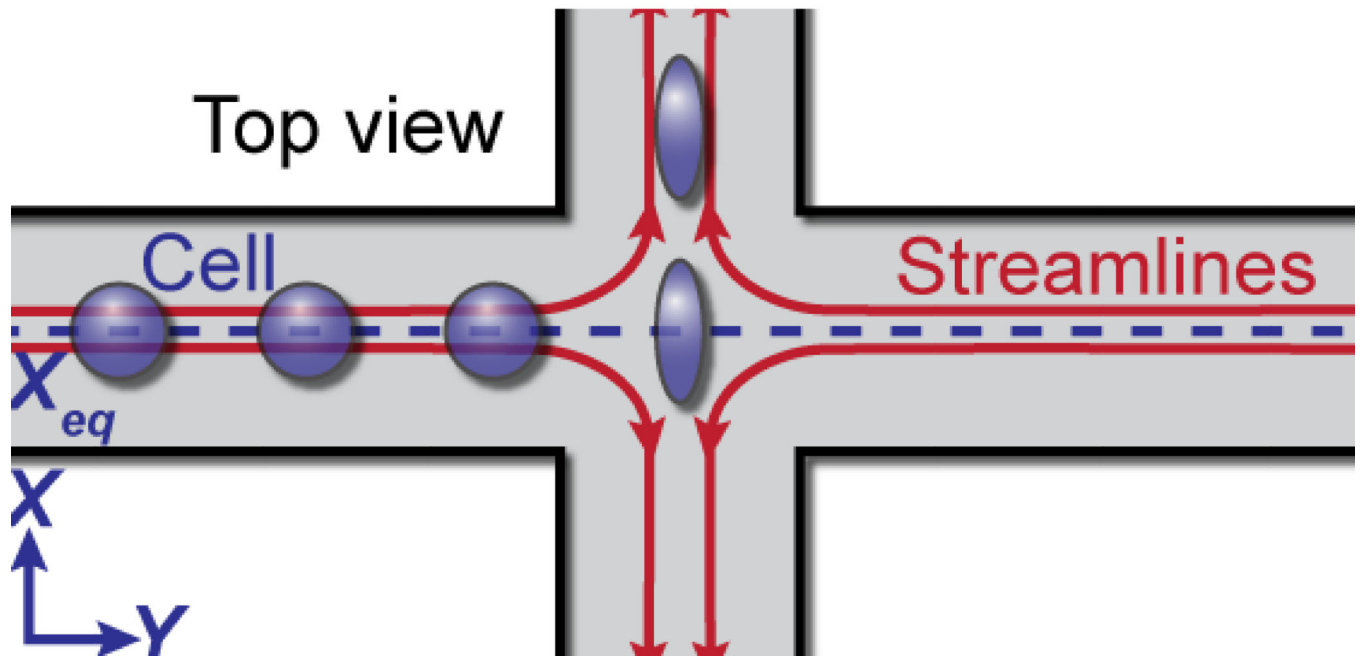
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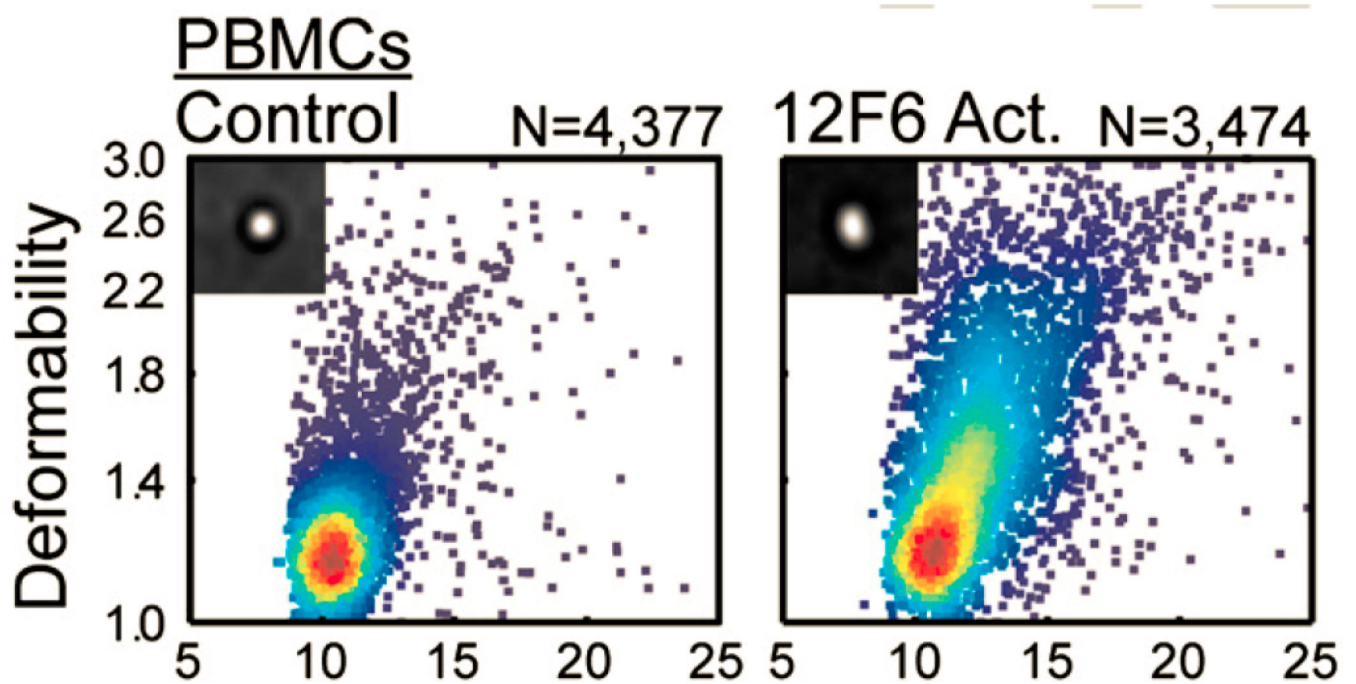
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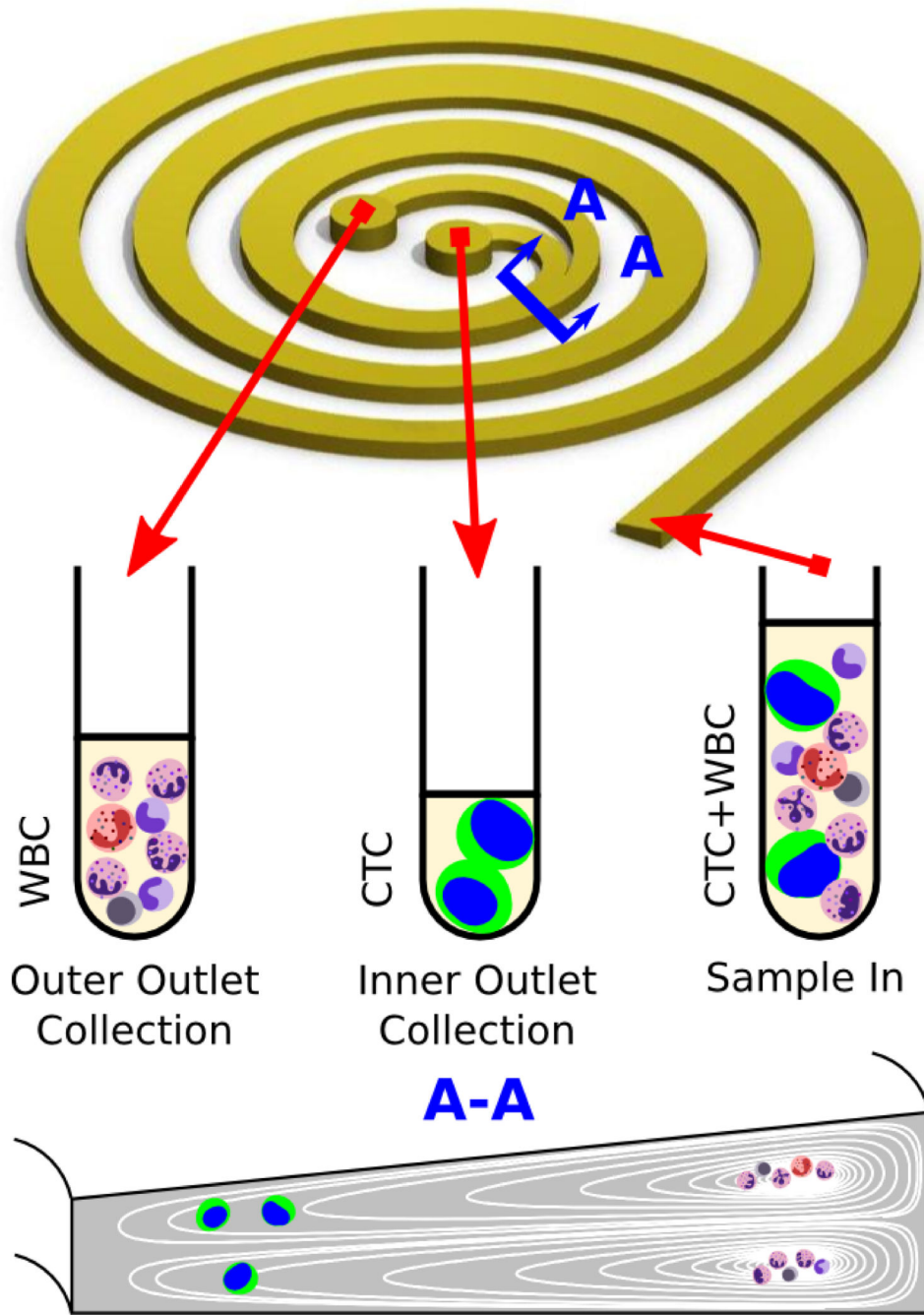
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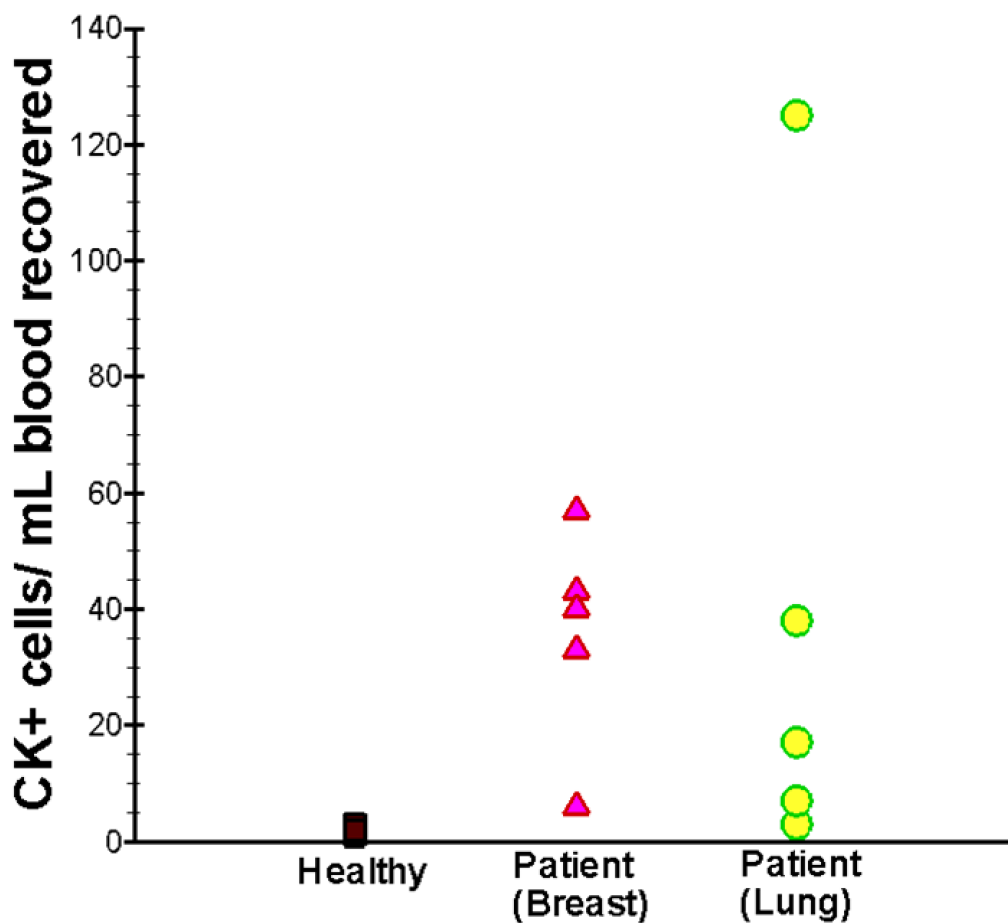


Figure 2. Microfluidic devices used to study circulating cells. (a) A microchannel device used to study the effect of malaria disease progression on RBCs. (b) As the disease progresses, RBCs are unable to deform and flow through a $2\ \mu\text{m}$ wide channel. Figures 2(a) and (b) adapted from Shelby et al. (2011)/Reference 116 with permission. (c) Probing the label-free biophysical marker of single-cell deformability with high throughput hydrodynamic stretching. (d) Representative results of size versus deformability demonstrate the differences in deformability between the control and activated peripheral blood mononuclear cells. Figures 2(c) and (d) adapted from Gossett et al. (2012)/Reference 31 with permission. (e) A curved microchannel geometry with a trapezoidal cross-section creates large lateral separation between large and small particles, allowing for more concentrated lysed blood samples to be used. Smaller white blood cells and platelets are trapped in the Dean flow vortices near the outer wall while the larger cancer tumor cells are pushed to the inner wall through a combination of inertial lift and Dean drag forces. This simple 1-inlet, 2-outlet device design is well suited for adaptation for a clinical assay. (f) Number of cancer tumor cells recovered

per mL of prepared patient sample collected from healthy (black squares), breast cancer patients (red triangles), and lung cancer patients (green circles). 100% CTC detection from patient samples occurred at high capture efficiency (3–125 CTCs/mL) and throughput rates (~1 mL/hr flow rates). Figures 2(e) and (f) reproduced from Warkiani et al. (2014)/ Reference 131 with permission.

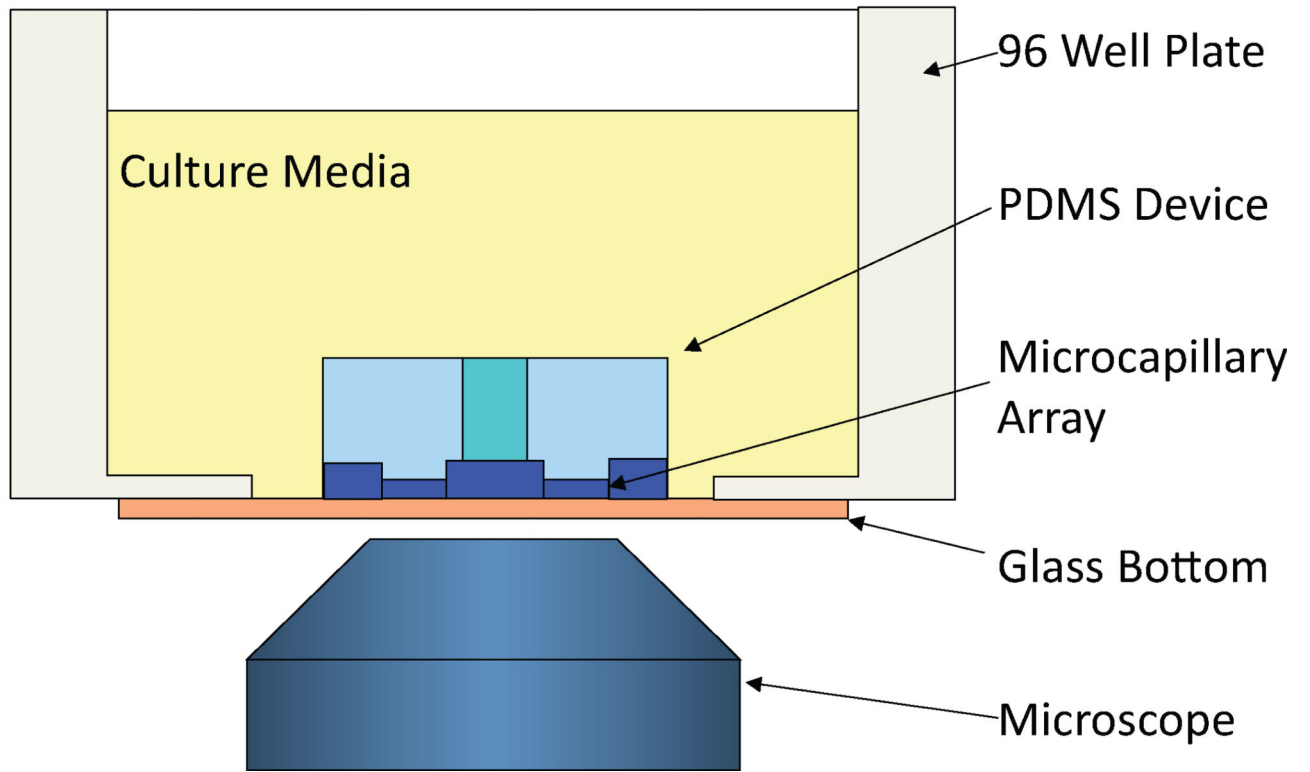
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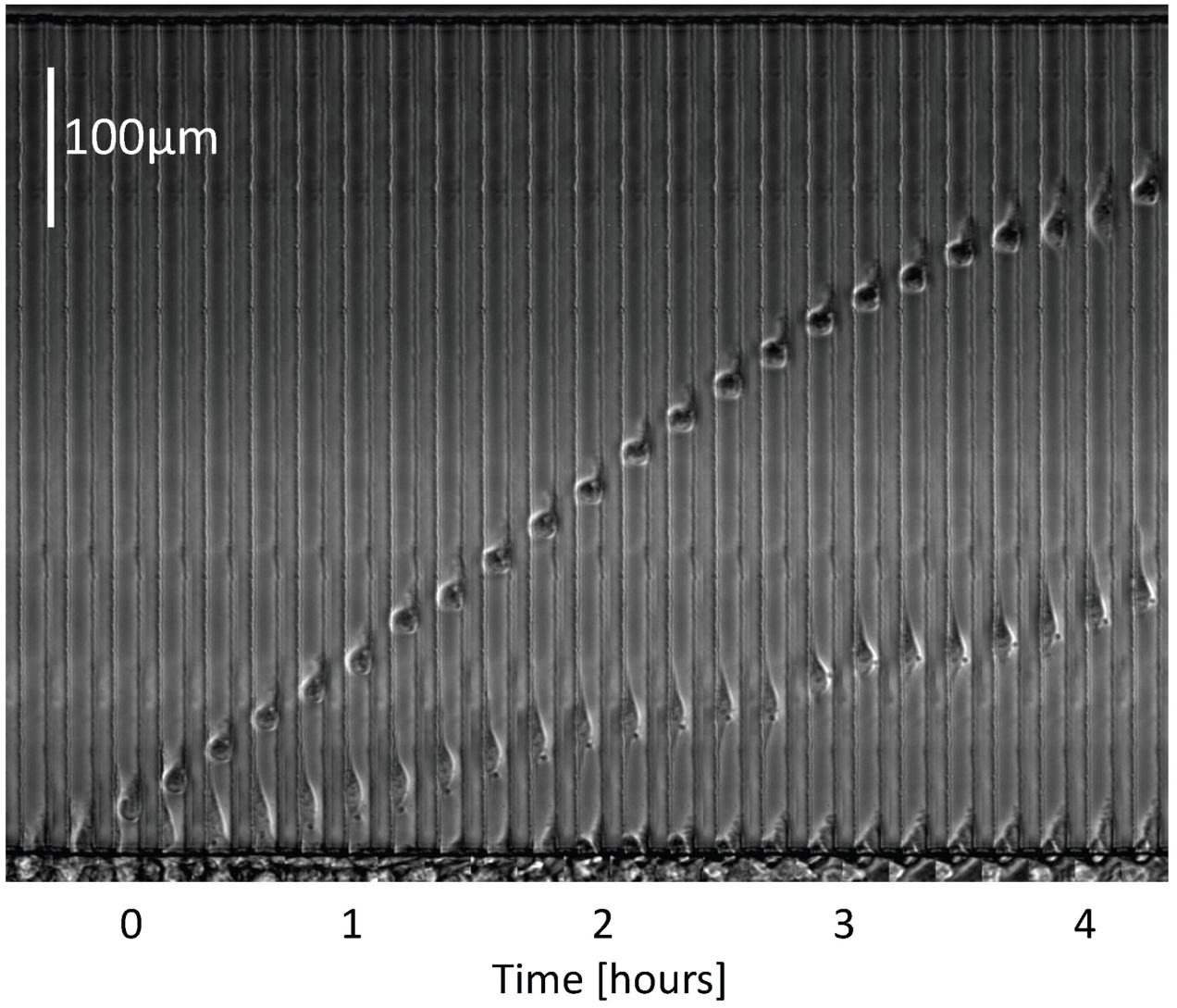
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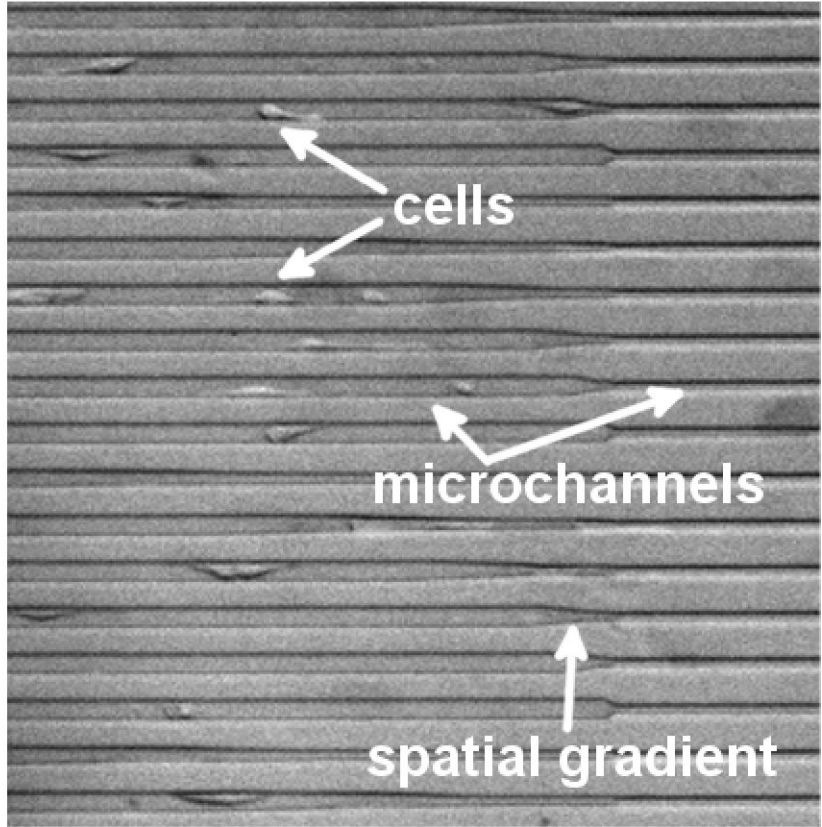
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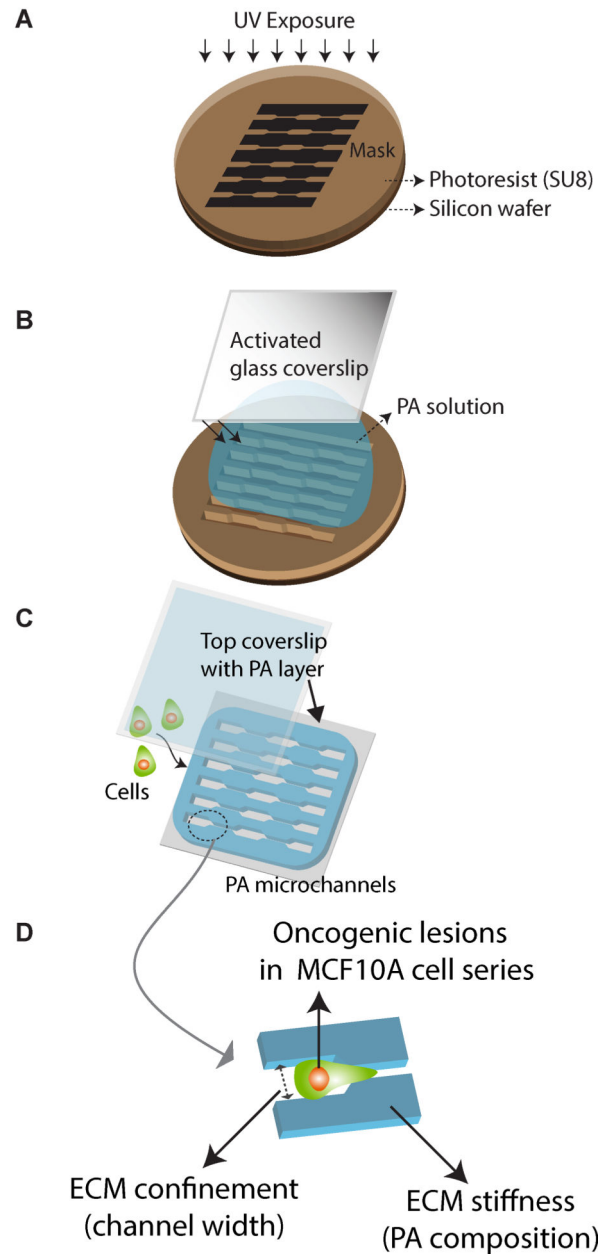
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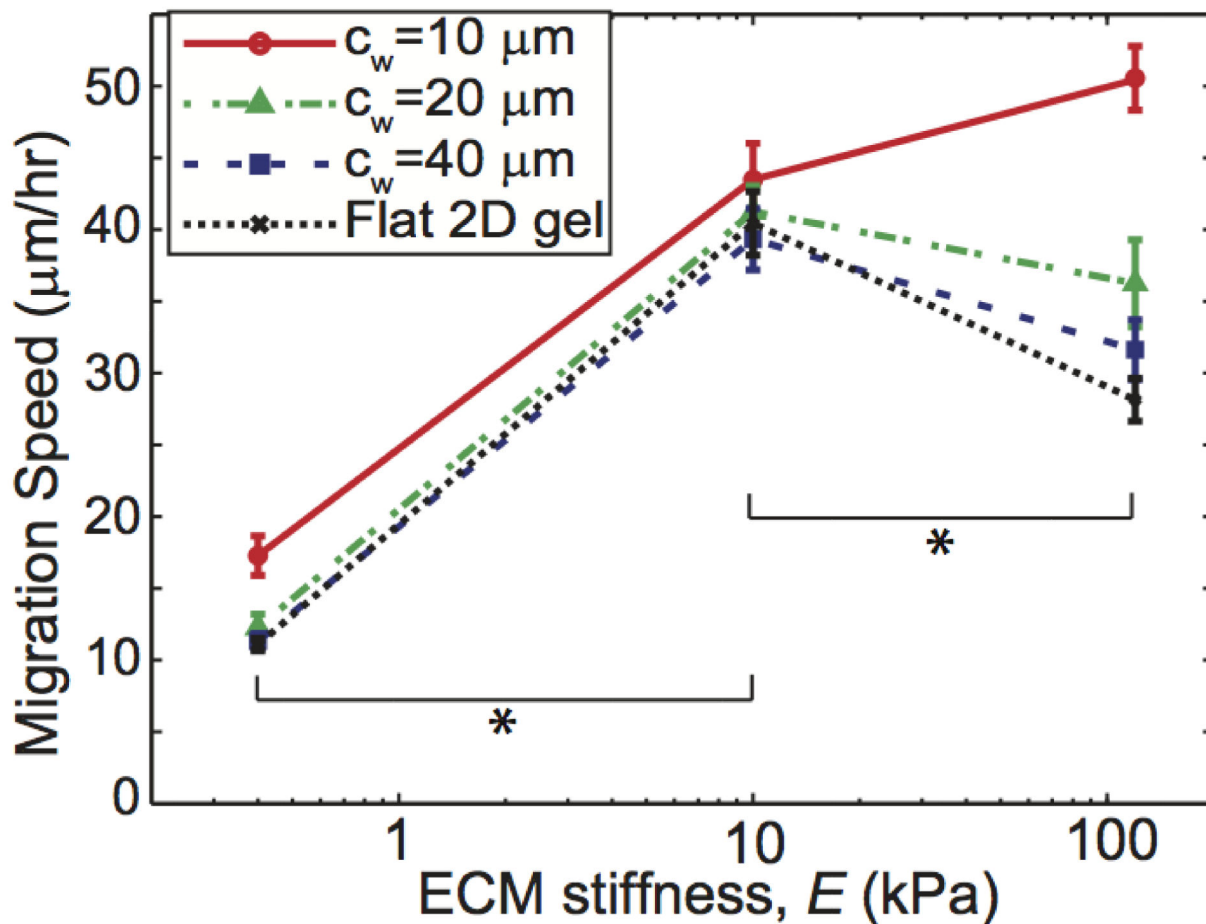
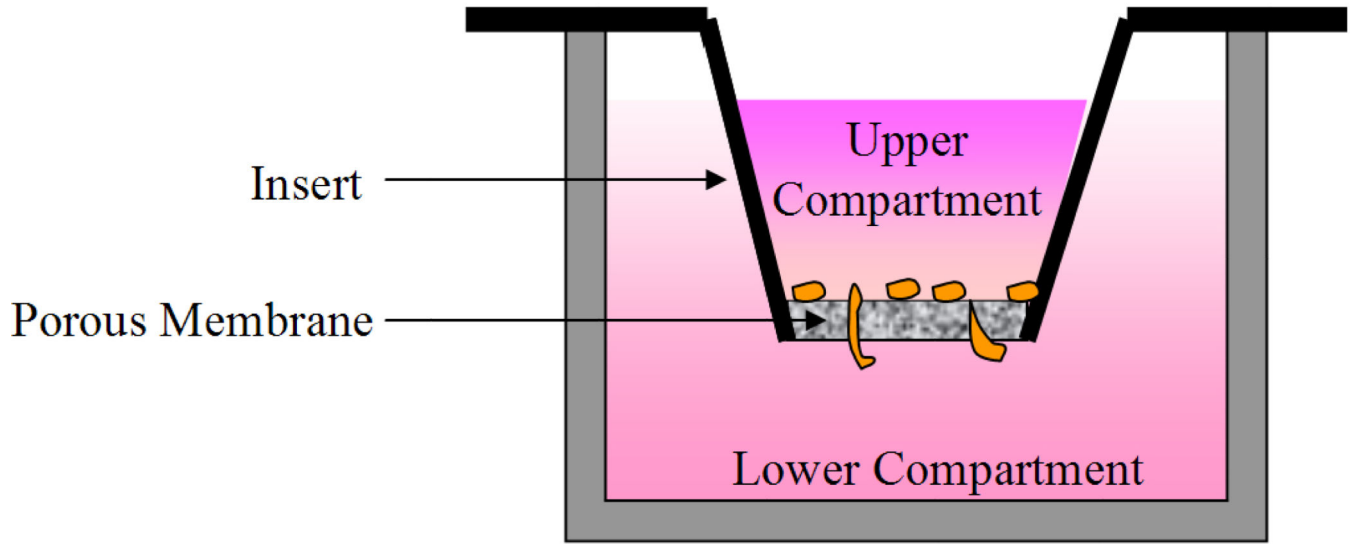


Figure 3.

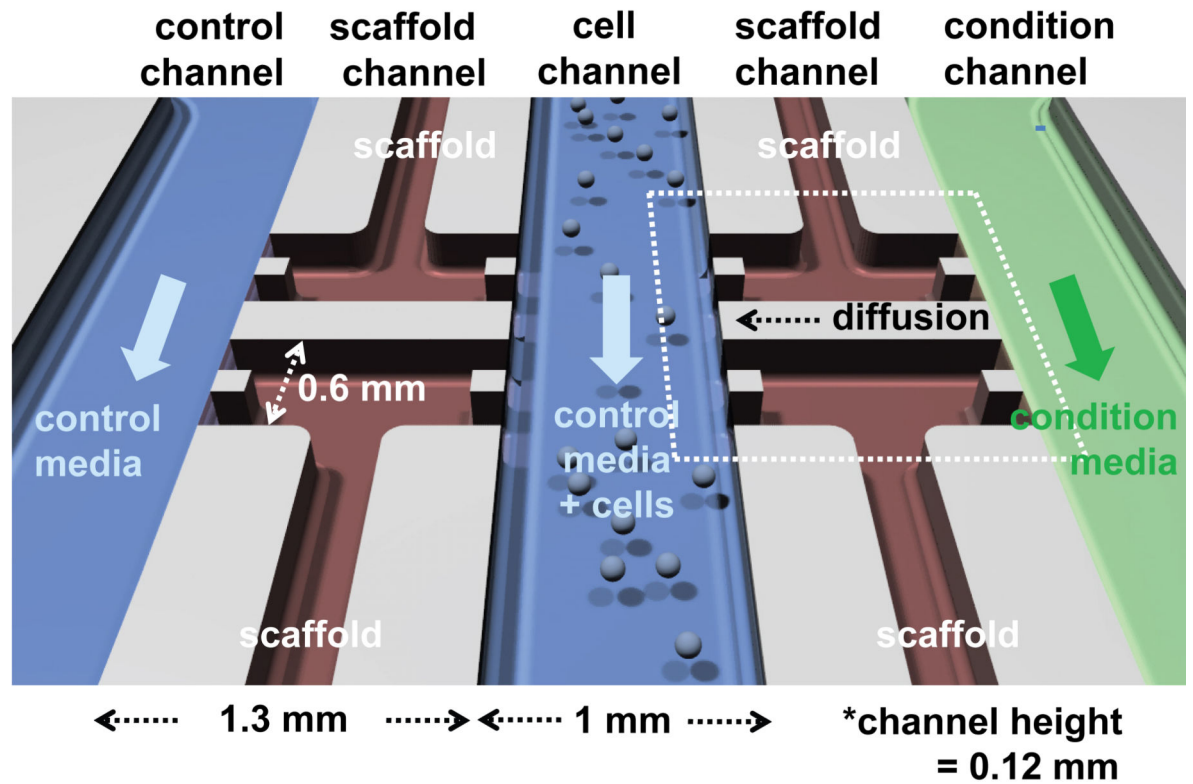
Microfluidic devices for migration of adherent cells. (a) An example of a PDMS-based microfluidic device able to observe cell migration in a high throughput manner with single-cell resolution. PDMS devices are placed in each well of a standard 96 well plate. By culturing individual wells according to different conditions and interfacing this device with a motorized microscope stage, one is able to measure multiple conditions in the same experiment. (b) Two cells, one with amoeboid and one with mesenchymal morphology, migrating in the same rectangular channel over a period of 4 hours. Solely due to confinement, cells will spontaneously migrate in one direction. Figures 3(a) and (b) adapted from Irima et al. (2009)/Reference 24 with permission. (c) Periodically tapering channels better mimic cancer cell invasion through dense extra cellular matrices, where pore sizes can be on the subnuclear length scale. This design leads to the observation that multiple

strategies are used by the cell to invade small spaces. These strategies include contraction of the cell so that the nucleus is deformed enough to pass through the small pore or slowly permeating the pore via rolling of the cell body. (c) reproduced from Mak et al. (2011)/Reference 145 with permission. (d) To decouple the effect of stiffness and confinement on cell migration, polyacrylamide-based devices were fabricated with soft-lithography based techniques. Stiffness is a property of the polyacrylamide while confinement is a property of the design. Figures 3(d) adapted from Pathak et al. (2012)/Reference 22, with permission. (e) Within these polyacrylamide-based devices, confinement in narrow channels (10 μm width) relieved the inhibitory effect of high stiffness that is seen in the larger channel widths. Figure 3(e) adapted from Pathak et al. (2013)/Reference 150, with permission.

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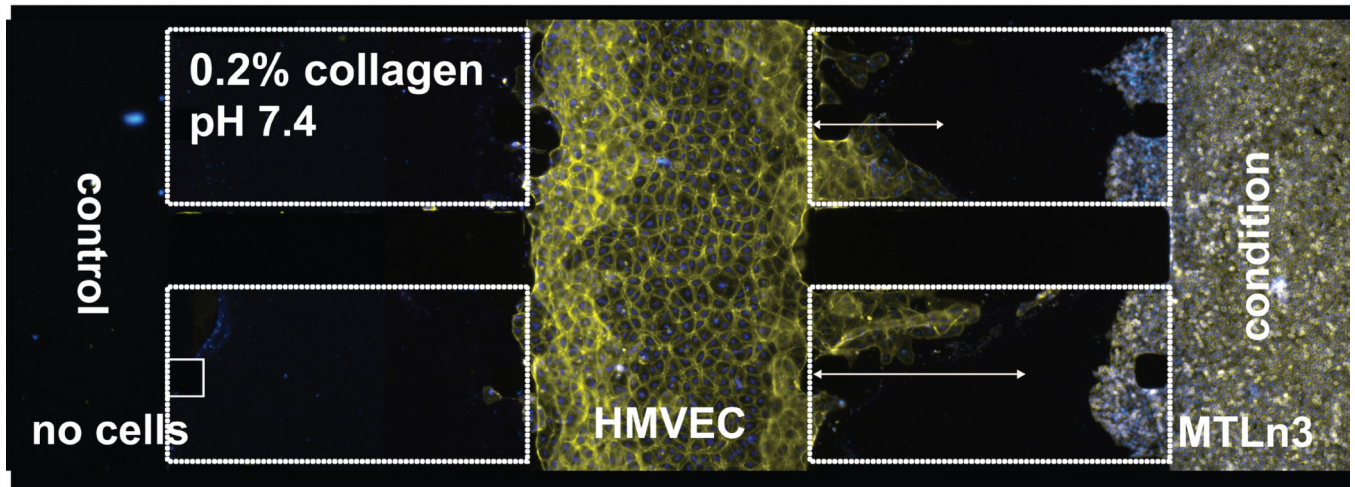


Figure 4.

Microfluidic devices for chemotaxis. (a) A Boyden chamber is a popular non-microfluidic platform to measure chemotaxis. Cells are seeded in the upper compartment and a chemokine is placed in the lower compartment. After several hours the number of cells that have migrated from the upper to lower compartment indicates how effective the chemokine is at inducing directional migration on a population level. However, the chemokine gradient is not steady and slowly decreases with time until equilibrium is reached. Figure 4(a) is adapted from Toetsch et al. (2009)/Reference 154. (b) A chemotactic microfluidic platform generates a steady chemokine gradient and allows for cell invasion measurements in a known chemokine conditions. This device allows the direct comparison of cell migration behavior between the condition of interest and control sides. (c) Within the chemotactic microfluidic device, cell migration through 3D matrices can be studied under co-culture conditions; MTLn3 cells are able to attract HMVEC cells, while limited invasion is seen on the control side (no cells seeded). Figures 4(b) and (c) are adapted from Chung et al. (2009)/Reference 155 with permission.